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PTO-1390(Rev. 12-2004) Approved for use through 3/31/2007. OMB 0651-0021

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) 371 CONCERNING A FILING UNDER 35 U.S.C.

ATTORNEY 'S DOCKET NUMBER DEP5167

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/567235

| INTERNATIONAL APPLICATION NO                    |  | INTERNATIONAL EILING DATE  | DDIODITY DATE OF AIMED                    |  |  |  |  |  |  |  |  |  |
|---|--|--|---|--|--|--|--|--|--|--|--|--|
| INTERNATIONAL APPLICATION NO. PCT/GB2004/003618 |  | INTERNATIONAL FILING DATE  | PRIORITY DATE CLAIMED                     |  |  |  |  |  |  |  |  |  |
|   | FG1/GB2004/000010  | 24 August 2004   | 05 September 2003                         |  |  |  |  |  |  |  |  |  |
|   |  | GE GUIDED SURGERY MARKER   |   |  |  |  |  |  |  |  |  |  |
|   |  | um Colquhoun   |   |  |  |  |  |  |  |  |  |  |
| App   | Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |   |  |  |  |  |  |  |  |  |  |
| 1.  | ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.   |  |   |  |  |  |  |  |  |  |  |  |
| 2.  | ☐ This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.  |  |   |  |  |  |  |  |  |  |  |  |
| 3.  | ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below   |  |   |  |  |  |  |  |  |  |  |  |
| 4.  | ☐ The US has been elected (Article 31).  |  |   |  |  |  |  |  |  |  |  |  |
| 5.  | ☑ A copy of the International Application  | n as filed (35 U.S.C. 371(c)(2))   |   |  |  |  |  |  |  |  |  |  |
|   | <ul> <li>a. ⊠ is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. ⊠ has been communicated by the International Bureau.</li> <li>c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>   |  |   |  |  |  |  |  |  |  |  |  |
| 6.  | ☐ An English language translation of the   | e International Application as filed (35 t   | J.S.C. 371(c)(2)).                        |  |  |  |  |  |  |  |  |  |
|   | a. ☐ is attached hereto b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).  |  |   |  |  |  |  |  |  |  |  |  |
| 7.  | ☐ Amendments to the claims of the Inte   | ernational Application under PCT Article   | 9 19 (35 U.S.C. 371(c)(3))                |  |  |  |  |  |  |  |  |  |
|   | <ul> <li>a.      are attached hereto (required only if not communicated by the International Bureau).</li> <li>b.      have been communicated by the International Bureau.</li> <li>c.      have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d.      have not been made and will not be made.</li> </ul> |  |   |  |  |  |  |  |  |  |  |  |
| 8.  | ☐ An English language translation of the   | e amendments to the claims under PC  | Γ Article 19 (35 U.S.C. 371 (c)(3)).      |  |  |  |  |  |  |  |  |  |
| 9.  | An unexecuted oath or declaration of   | the inventor(s) (35 U.S.C. 371(c)(4)).   |   |  |  |  |  |  |  |  |  |  |
| 10.   | An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).   | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). |   |  |  |  |  |  |  |  |  |  |
| (   | Items 11 to 20 below concern document  | t(s) or information included:  |   |  |  |  |  |  |  |  |  |  |
| 11.   | ☑ An Information Disclosure Statement  | under 37 CFR 1.97 and 1.98.  |   |  |  |  |  |  |  |  |  |  |
| 12.   | ☐ An assignment document for recording   | g. A separate cover sheet in compliand   | ce with 37 CFR 3.28 and 3.31 is included. |  |  |  |  |  |  |  |  |  |
| 13.   | ☐ A preliminary amendment.   | •  |   |  |  |  |  |  |  |  |  |  |
| 14.   | ☐ An Application Data Sheet under 37 C   | OFR 1.76.  |   |  |  |  |  |  |  |  |  |  |
| 15.   | ☐ A substitute specification.  |  | •   |  |  |  |  |  |  |  |  |  |
| 16.   | ☐ A power of attorney and/or change of   | address letter.  |   |  |  |  |  |  |  |  |  |  |
| 17.   | ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.   |  |   |  |  |  |  |  |  |  |  |  |
| 18.   | ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).  |  |   |  |  |  |  |  |  |  |  |  |
| 19.   | ☐ A second copy of the English language  | e translation of the international application   | ation under 35 U.S.C. 154(d)(4).          |  |  |  |  |  |  |  |  |  |
| 20.   | ☐ Other items or information:  | •  |   |  |  |  |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 AND 1.491-1.492. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APP CA IC  | <b>156723</b>                                 | ATTORNEY'S DOCKET NUMBER<br>DEP5167 |                 |   |           |             |                              |              |  |  |  |
|---|---|-------------------------------------|-----------------|---|-----------|-------------|------------------------------|--------------|--|--|--|
| 21. 🛛 The follow  | ring fees are submitt                         | Applicant use                       | Office use only |   |           |             |                              |              |  |  |  |
|   | nal fee                                       | \$ 300.00                           |                 |   |           |             |                              |              |  |  |  |
| - '   | n fee   | \$ 200.00                           | ·               |   |           |             |                              |              |  |  |  |
|   |   | \$ 500.00                           |                 |   |           |             |                              |              |  |  |  |
| C) Searchiee  |   | \$ 1000.00                          |                 |   |           |             |                              |              |  |  |  |
| TOTAL OF ABOVE CALCULATIONS = \$ 1000.00  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |   |                                     |                 |   |           |             |                              |              |  |  |  |
| Total Sheets  |   |                                     |                 |   |           |             |                              |              |  |  |  |
| - 100 =   | /50 =   |                                     |                 | x \$250.00  |           | × \$250.00  | \$ 0                         |              |  |  |  |
|   | .00 for furnishing the<br>te (37 CFR 1.492(e) | the earliest                        | \$              |   |           |             |                              |              |  |  |  |
| CLAIMS  | NUMBER FILE                                   | .D                                  | NUMBER EXTRA RA |   | ΓE        |             |                              |              |  |  |  |
| Total claims  | 14  | -20                                 | 0               |   | x \$      | 50.00       |                              |              |  |  |  |
| Independent claim   | s 2   | - 3 0                               |                 | 0   | x 200.00  |             |                              |              |  |  |  |
| MULTIPLE DEPEN  | NDENT CLAIM(S) (if                            | applica                             | ble)            |   | + \$      | 300.00      | \$                           |              |  |  |  |
|   |   |                                     |                 | TOTAL OF ABOV                                       | E CALC    | ULATIONS =  | \$0                          |              |  |  |  |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced \$  |   |                                     |                 |   |           |             |                              |              |  |  |  |
| hy 1/3  |   | SUBTOTAL =                          | \$1000.00       |   |           |             |                              |              |  |  |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)) .  |   |                                     |                 |   |           |             |                              |              |  |  |  |
|   |   | \$1000.00                           |                 |   |           |             |                              |              |  |  |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +  |   |                                     |                 |   |           |             |                              |              |  |  |  |
|   |   |                                     |                 | TOTAL   | FEES E    | NCLOSED =   | \$1000.00                    |              |  |  |  |
|   |   |                                     |                 |   |           | e refunded: |                              | \$           |  |  |  |
|   | <del></del>                                   |                                     |                 | <del> </del>  |           | e charged:  |                              | \$           |  |  |  |
| a. ☐ A check in   |   |                                     |                 | the above fees is enclosed                          |           |             | to cover the above fees.     |              |  |  |  |
|   | ate copy of this shee                         |                                     |                 | <u>30/DEF310//B31</u> III (II                       | e allioui | 1000.00     | to cover the above rees.     |              |  |  |  |
|   |   |                                     |                 | arge any additional feet<br>cate copy of this sheet |           |             | ed, or credit any overpaymen | t to Deposit |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  |   |                                     |                 |   |           |             |                              |              |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.   |   |                                     |                 |   |           |             |                              |              |  |  |  |
| SEND ALL CORRESPONDENCE TO:  Philip S. Johnson, Esq.  Johnson & Johnson  One Johnson & Johnson Plaza  New Brunswick, NJ 08933-7003  USA  Signature  Name: Brian S. Tomko  Reg. No. 41,349  Attorney for Applicants  |   |                                     |                 |   |           |             |                              |              |  |  |  |

10/567235

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DOCKET NO. DEP5167

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Callum Colquhoun

For : FLEXIBLE IMAGE GUIDED SURGERY MARKER

## Express Mail Certificate

"Express Mail" mailing number: EV 086127451 US

Date of Deposit:

February 3, 2006

I hereby certify that this complete application, including specification pages, claims, PTO1390 Form, IDS Form 1449 and cited foreign references are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A Combined Declaration and Power of Attorney will be submitted to the United States Patent and Trademark Office upon receipt of the U.S. Serial Number for this patent application.

Laurie Phillips (Typed or printed name of person mailing paper or fee)

/Laurie Phillips/
(Signature of person mailing paper or fee)